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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria / 7A 23313-1450.

Burton Rodney

Type or print name

Signature 2

November 25, 2003

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

NERURKAR ET AL.

**APPLICATION NO: 10/642,366** 

FILED: AUGUST 14, 2003

FOR: ARIPIPRAZOLE COMPLEX FORMULATION AND METHOD

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (609) 252-4336

Date: November 25, 2003

Respectfully submitted,

**Burton Rodney** 

Attorney for Applicants

Reg. No. 22,076

FORM PTO-1449 (REV. 7-85)

AT

**EXAMINER** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. MA 0095 NP APPLICATION NO. 10/642,366 APPLICANT NERURKAR ET AL. FILING DATE AUGUST 14, 2003

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U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA	ASS SUBCL	ASS	FILING DATE		
	AA	4,983,586	1/8/91	Nicholas S. Bodor						
	AB	5,006,528	4/9/91	Oshiro et al.						
	AC	5,134,127	7/28/92	Stella et al.						
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	AF	5,773,029	6/30/98	Chiesi et al.						
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	АН	5,904,929	5/18/99	Uekama et al.						
	Al	6,232,304	5/15/01	Kim et al.						
-	AJ	6,407,079	6/18/02	Müller et al.						
	AK			-						
	AL									
	•		FOREIG	N PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRA YES	NSLATION NO		
	АМ	EP 1 145 711	10/17/01	EP		·				
	AN	JP 9-301867	11/25/97	JP (English Abstract)						
	AO		<del></del>							
	AP									
	AQ									
		OTHER DOC	JMENTS (	Including Author, Title, Date, Perti	nent pages, E	tc.)				
	AR									
	AS									

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

**DATE CONSIDERED**